

*See for  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of

Herman Schlerling

Serial No. 09/213,434

Filed: December 17, 1998

ATTN: Application Processing Division

For: OPTICAL IMAGE RECORDING  
SYSTEM AND ASSOCIATED  
PROCESSING SYSTEM

SUBMISSION OF MISSING PARTS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Letter from the Application Processing Division dated January 14, 1999, applicant submits herewith:

- (1) the formally executed U.S. patent application;
- (2) a copy of PTO form PTO-1533; and
- (3) our check in the amount of \$130.00 covering the Government late surcharge. Please charge any additional Government fees to our Account No. 12-0605.

Respectfully submitted,

3/11, 1999

Lawrence E. Laubscher, Sr.  
Registration No. 18,202  
LAUBSCHER & LAUBSCHER  
745 South 23rd Street, Suite 300  
Arlington, Virginia 22202  
Telephone: (703) 521-2660



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
13-18-184	12/17/98	SCHERLING	H 18938
		0232/0114	NOT ASSIGNED
LAW OFFICES OF LOUBSCHER SR 815 FIFTH AVENUE WASHINGTON DC 22202-2451			2851

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed)  non-small entity is \$ 120.00.

1. The statutory basic filing fee is:

missing.  
 insufficient.

- 2 Additional claim fees of \$ \_\_\_\_\_ including any multiple dependent claim fees, are required.

**for independent claims over 3.**

**\$ for dependent claims over 20.**

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

- ### ~~3. The oath or declaration:~~

is missing or unexecuted.

- does not cover the newly submitted items.
  - does not identify the application to which it applies.
  - does not include the city and state or foreign country of applicant's residence.

**An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.**

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- Inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

  - 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
  - 7. Your filing receipt was mailed in error because your check was returned without payment.
  - 8. The application does not comply with the Sequence Rules.  
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*
  - 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

**Customer Service Center  
Initial Patent Examination Division (703) 308-1202**